Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-NOV-18**

of M/s. Insight Securities (Pvt.) Ltd.

Submission Date 14-DEC-2018 14:15:52

	Submission Date 14-DEC-2016 14.13.32		Page	1 Of 3
S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1	Assets			
1.1	Property & Equipment	5,878,729	5,878,729	
1.2	Intangible Assets	5,000,000	5,000,000	
1.3	Investment in Govt. Securities			
1.4	Investment in Debt. Securities If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than: i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
4.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	136,677,803	20,673,489	116,004,314
	respective securities whichever is higher.	130,077,803	20,073,469	110,004,314
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	17,753,205	17,753,205	
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.6	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,500,000	1,500,000	
	or any other entity.	, ,	, ,	
	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.	89,507,581	0	89,507,581
1.11	Other deposits and prepayments	789,763	789,763	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
1 1 2	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables. Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	2,364,500	0	2,364,500
	ii Dessivables other then trade reasivables	4 557 655	4 557 655	
1.16	ii. Receivables other than trade receivables Receivables from clearing house or securities exchange(s)	1,557,655	1,557,655	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.	0 700 0 45		0 700 0 45
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains. Receivables from customers	8,762,945	0	8,762,945
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	9,752,287	0	9,752,287
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	7,452,147	1,874,113	1,874,113
	value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.	1,285,501	1,285,501	
1.18	Cash and Bank balances			
		1,097,291	0	1,097,291
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	58,331,850	0	

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-NOV-18**

of M/s. Insight Securities (Pvt.) Ltd.

Submission Date 14-DEC-2018 14:15:52

S.No. Read of Account Value in Pak Rupee Rair Out/ Adjustments Net Adjusted Value 119 Total Assels 347,721,670 56,312,455 287,705,294 2 If add Payables - - - - 1.19 total Assels 347,721,670 56,312,455 287,705,294 2 If add Payables - - - - 1.19 Payable to exchanges and clearing house - - - 1.19 Payable to exchanges and clearing house - - - 1.2940b to customers - - - - 2.3 Current Liabilities - - - - 1.5 Current Liabilities -		Submission Date 14-DEC-2010 14.15.52		Page	2 Of 3
Internal Assets 23.2 Euged 24 justments 24 justments 119 Incel Assets 947.271.697 96.312.495 287.795,294 21 Indel Psycholes 96.312.495 287.795,294 21 Indel Assets 96.302.495 287.795,294 21 Indel Assets 96.308.416 0 96.808.416 0 22 Current Libibilities 96.308.416 0 96.808.416 0 96.808.416 0 96.808.416 0 96.808.416 0 96.808.416 0 96.808.416 0 96.808.416 0 96.808.416 0 96.808.416 0 97.809.800 0 12.809.200 0 12.809.200 0 12.809.200 0 12.809.200 0 12.809.200 0 12.809.200 0 12.809.200 0 12.809.200 0 12.809.200 0 12.809.200 0 12.809.200 0 12.809.200 0 12.809.200 0 12.809.200 0 12.809.200 0 12.809.200 0	S No	Head of Account	Value in	_	
2 Uppellines 0 0 0 0 21 Track payables 0	5.110.				
2 Uppellines 0 0 0 0 21 Track payables 0	1 19	Total Assets	347 721 670	56 312 455	287 705 294
21 Index Payables			0 11 (1 2 1) 01 0	00,012,100	201,1:00,201
Instruction Instruction Instruction 1 Payable to calchomes. 66,680,418 0 66,680,418 0 2 Corrent Instruction 12,688,600 0 <t< td=""><td></td><td></td><td></td><td></td><td></td></t<>					
III. Provide signed because in motor (motor) III. Provide social motor III. Provide social motor III. Provide social motor 27 Control Lisbilities III. Social social motor III. Social social motor III. Social social motor 18 Accessing and the periodic of social socielitic social soc					
22 Current Liabilities 12,886,200 0 12,886,200 12,886,200 12,886,200		ii. Payable against leveraged market products			
Isolation and other payables 12,888,800 I. Account skin and other payables 12,888,800 I. Start-term barowings 12,888,800 V. Claiming product of skindmixed losss 1 I. Defract (skindmixed loss) 1 II. Postion of skindmixed loss 1 II. Postion of skindmixed loss 1 II. Postion of skindmixed loss 1 II. Postion of skindmixed loss of skindm	2.2		66,868,416	0	66,868,416
II. Accurate and sharp appelois 12.388,820 0 12.388,820 II. Spectra theorem barrowing 1 1 1 VI. Determining the barrowing principles and included in the financial statements 1 1 VI. Determining the barrowing principles and included in the financial statements 1 1 VI. Determining the barrowing principles and included in the financial statements 1 1 VI. Determining the barrowing principles and included in the financial statements 1 1 VI. Determining the barrowing principles and included in the financial statements 1 1 A Long Term financial grammed the against finance lease 1 1 1 Determining the barrowing principles and includes the proposed enhanced there capital 1 1 1 I. Soft finance therefinities the barrowing principles and includes the proposed enhanced there capital 1	2.2				
II. Start-term backwing II. Start-term backwing III. Start-term backwing IV. Current Lisbilities III. Start-term backwing III. Start-term backwing IV. Decision for back debt III. Start-term backwing III. Start-term backwing IV. Decision for back debt III. Start-term backwing III. Start-term backwing IV. Decision for back debt III. Start-term backwing IIII. Start-term backwing IV. Decision for back debt IIII. Start-term backwing IIIIII. IV. Decision for back debt IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII			12,589,620	0	12.589.620
* Current parties of long term liabilities * 0. Detraction for bad ketts * 0. Detraction for bad ketts * 0. Output * 0. Output <td></td> <td></td> <td>,,</td> <td>-</td> <td>,,</td>			,,	-	,,
vi. Defined Liabilities vi. Defined Liabilities vi. Defined Liabilities vi. Device Liabilities vi. Device Liabilities vi. Device Liabilities 2.1 Non-Control Liabilities vi. Device Liabilities 3.1 Control Liabilities vi. Device Liabilities 4.1 Device Liabilities vi. Device Liabilities 5.1 Device Liabilities vi. Device Liabilities 5.1 Device Liabilities vi. Device Liabilities 5.2 Device Liabilities vi. Device Liabilities vi. Device Liabilities 5.3 Device Liabilities vi. Device Liabilities vi. Device Liabilities vi. Device Liabilities 6.3 Device Liabilities vi. Device Liabiliities <td></td> <td></td> <td></td> <td></td> <td></td>					
eii. Provision for backsion eii. Provision for backsion 23 Mon-Current Liabilities as per accounting principles and included in the financial statements. eii. 23 Mon-Current Liabilities eii. 24. Non-Current Liabilities eiii. 25. Automatic and the statement of the					
ix. Other liabilities apper accounting principles and included in the financial statements isolation 21 Non-Current Liabilities isolation a. Long-Term financing obtained from financial institution: Long term postion of financing obtained from a financial institution including amount due against finance lease. isolation b. Other long-term financing obtained from financial institution: Long term postion of financing obtained from a financial institution including amount due against finance lease. isolation b. Other long-term financing obtained from financial statements isolation isolation iii. Advance against shares for increase in Capital of Socurities broken: 100% helicut may be allowed in respect of obtained share capital isolation isolation b. Bode of Directors of the company has approved the increase in Capital isolation isolation isolation c. Relevant Regulatory approvals have been completed isolation isolation isolation c. Under Station approvals shares from increase of Capital isolation approvals have been completed isolation c. Interval Station approvals shares for increase of capital statements isolation isolation c. Interval Station approvals shares for increase of approval inplant data statements isolatin approvals shares isolation <					
23 Non-Current Liabilities Image: Comparison of the compari					
1. Long-Term financing Image: Ima	2.2				
a. Long-Term financing obtained from financial institution: Long term portion of financing obtained from b. Other long-term financing b. Staff effectment to family the against finance lease c. The staff effectment to family the against finance lease c. The staff effectment to family the against finance lease c. The staff effectment to family the compare in Capital of Sacutities tacker: 10% haircut may be allowed in respect of admine against finance if. c. Relevant Regulatory approvals have been completed d. The staff adminest paper value to the staff of the company has approved the increase in capital c. Relevant Regulatory approvals have been completed d. The staff is the increase in the base been completed d. The staff is the increase in the base been completed d. The staff is the increase in the base been completed d. Other habitities as per accounting principles and included in the financial statements 1.00% of subordinated loars which fulfill the conditions specified by SCP are allowed to be deducted: </td <td>2.5</td> <td></td> <td></td> <td></td> <td></td>	2.5				
a financial institution including amount due against finance lesse					
b. Other long-term financing Image: Contract of advance against shares for increase in Capital of Securities tooker 100% halicul may be allowed in respect of advance against shares for increase in capital Image: Contract of advance against shares for increase in capital ii. Advance against shares for increase in Capital of Securities tooker 100% halicul may be allowed in Image: Contract of advance against share agrowed the increase in capital Image: Contract of Contrecont of Contract of Contract of Contract of Contrecont		a financial institution including amount due against finance lease			
iii Advance against shares for increase in Capital of Securities trokes: 100% halicut may be allowed in respect of advance against shares. iiii Advance against shares for increase in capital allows the proposed enhanced share capital iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii		b. Other long-term financing			
is specified advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvsh have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements issue issue into the origination of the company has approved the increase in capital v. Other liabilities as part accounting principles and included in the financial statements. issue issue its included in the completion capital v. Other liabilities as part accounting principles and included in the financial statements. issue its included in the conditions specified by SCP are allowed to be deducted. the schedule ill provides that 100% hatcurvi will be allowed against suborting conditions are specified. issue its included in the conditions specified by SCP are allowed to be repaid at the schedule if the occharge. 2.4 Subordinated Loans which do not fulfill the conditions specified by SCP are allowed to liquid Capital and revised Liquid					
a. The existing authorized share capital allows the proposed enhanced share capital b. Boad O Directos of the company has been obtained d. Bees in ourressonable delay in susported the increase in capital c. Relevant Regulatory approvals have been completed. is. Audit is satisfied that such davance is against the increase in capital is. Audit is satisfied that such davance is against the increase of capital. is. Other flabilities a para accounting principles and included in the financial statements is. is. Other flabilities a para accounting principles and included in the financial statements is. is. Other flabilities a para accounting principles and included in the financial statements is. is. Other flabilities a para accounting principles and included in the financial statements is. is. Other flabilities a para accounting principles and included in the financial statements is. is. Other flabilities a para accounting principles and included in the financial statements is. a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after it zomants of reparament of loan. Adjustment shall be made to the Liquid Capital and revised Liquid Capital and revised Liquid Capital statement must be executed to exchange. is. 1. No haircut will be allowed against short term portion which is repayable within next 12 months. is. is. 2. In task data taccounted client - tore client basis by which any amount receivabl					
c. Relevant Regulatory approvals have been obtained c. Relevant Regulatory approvals have been completed. e. Auditor's satisfied that such advances against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor's satisfied that such advances is against the increase of capital. v. Other liabilities as per accounting principles and included in the financial statements 24 Subordinated Loans 1.00% of Subordinated loans which fulfil the conditions specified by SECP are allowed to be deducted. The Scheduel II provides that 10% shatcur will be allowed agains subordinated Loans which fulfil the conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months. c. in case of early repayment of ion adjustment shall be made to the Liquid Capital and revised Liquid Capital and revised Liquid Capital statement must be subordinated clearchore. 31 Ronking Liabilities Relating to: 32 Concentration in Margin Financing The angregate of mounts receivable from any of the financees and must clear the subscription price; Was of the aggregate of finances. 33 Ronking Liabilities Relating and Dorrowing T		a. The existing authorized share capital allows the proposed enhanced share capital			
d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. Auditor is satisfied that such advance is against the increase of capital. Auditor is satisfied that such advance is against the increase of capital. Auditor is satisfied that such advance is against the increase of capital. Bubordinated Loans Concentration specified by SECP. In this regard, following conditions are specified: Look of Subordinated isons which fulfill the conditions specified by SECP. A loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period. I constant of experiment of four adjustment stalls be made to the Liquid Capital and revised Liquid I contrast of eavi repair period. I concentration in Margin financing. I concentration in Margin financing. Concentration in Margin financing. Concentration in Margin financing. Concentration in Margin financing. I concentration in Margin financing. Concentration in Margin financing. Concentration in Margin financing. Concentration in securities lending and borrowing the aggregate of: I control frequencing and borrowing the aggregate of: I control frequencing commitments and (i) the caggregate of: I contentration in sec					
elating to the increase in paid up capital have been completed.					
iv. Other liabilities as per accounting principles and included in the financial statements Image: Constraint of Coordinated Loans 2.4 Subordinated Loans Image: Constraint of Coordinated Coo					
2.4 Subordinated Loans		e. Auditor is satisfied that such advance is against the increase of capital.			
100% of Subordinated bans which fulfill the conditions specified by SECP are allowed to be deducted: he Schedule III provides that 10% histicut will be allowed against subordinated Loans which fulfill the conditions specified by SECP in this regard, following conditions are specified; a Loan 10 months of meshage period. Image: Condition Specified Degree Conditions are specified; be allowed against subordinated Loans which fulfill the conditions specified by SECP in this regard, following conditions are specified; be allowed against short term proton which is regarable within next 12 months; c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be subordinated to exchange. Image: Condition Specified Degree Conditions Specified Degree Conditis Specified Degree Conditions Specified Degree Conditions Specifi	2.4				
The Schedule III provides that 100% haircut will be allowed against subordinated Loars which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of 10 ear. adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loars which do not fulfill the conditions specified by SECP 61,000,000 0 61,000,000 25 Total Liabilities 140,456,036 0 140,456,036 0 140,456,036 3 Ranking Liabilities Relating to : 3 3 Concentration in Margin Financing 5 5 5 The amount calculated client to - client basis by which any amount receivable from any of the financees seceed 10% of the agregate of amounts receivable from total financees. 5 5 3.2 Concentration in Securites lending and borrowing 5 5 5 5 4.3 Net underwriting commitments 5 5 5 5 5 5 3.3 Ranking Liabilities Feergage at of: 10 6 5 5 5 5 5	2.4				
conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must Clearly reflect the amount to be repaid after 12 months of reporting period b a b. No braicut will be allowed agains short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid 61,000,000 0 61,000,000 2.5 Itotal Liabilities Relating to : 140,458,036 0 140,458,036 3. Concentration in Margin Financing image and the aggregate of amounts eclevable from any of the financees second 0% of the aggregate of amounts eclevable from any of the financees second 0% of the aggregate of: image and the aggregate of amounts eclevable from total financees. 3.1 Concentration in Securites Jending and borrowing image and addition of some with NCCPL. image and addition of some with NCCPL. (i) A mount deposite by the borrower with NCCPL. (i) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed. image addition of the subscription price. Sh of the subscription price. Sh of the subscription price. Sh of the subscription price. The aggregate of: image addition price. 3.3 Net underwriting Commitments and image addition of securities less than or equal to the subscription price. Sh of the subscription price. Sh of the subscription price. The aggregate of: image addition price. i					
after 12 months of reporting period in the allowed against short term portion which is repayable within next 12 months. i. Subordinated loans which do not fulfill the conditions specified by SECP 61,000,000 0 61,000,000 2.5 Total Liabilities 140,458,036 0 140,458,036 0 140,458,036 3.1 Concentration in Margin Financing in the amount calculated client-to- client basis by which any amount receivable from any of the finances secred 10% of the aggregate of amounts receivable from total finances. in the amount calculated client-to- client basis by which any amount receivable from any of the finances secred 10% of the aggregate of amounts receivable from total finances. in the amount calculated client-to- client basis by which any amount receivable from total finances. 3.2 Concentration in Securities lending and borrowing in the amount by which the aggregate of: (i) Amount deposited by the borower with NCCPL (ii) Cash margins paid and (iii) the market value of securities pledged as margins exceed the 110% of the market value of shares borrowed with NCCPL (i) Cash margins paid and (ii) the value of securities is less than or equal to the subscription price: the aggregate of: (i) Amount deposite size which the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the total sets of the subscription price. 5% of the Haircut multiplied by the net underwriting commitments is greater than the subscription price. 5% of the Aggregate of the subsidiary (excluding any amount due fr		conditions specified by SECP. In this regard, following conditions are specified:			
b. No haicut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of lean. adjustment shall be made to the Liquid Capital and revised Liquid capital statement must be submitted to exchange. 61,000,000 0 215 Total Liabilities 140,458,036 0 140,458,036 3 Ranking Liabilities Relating to : 140,458,036 0 140,458,036 3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from total finances. 1 1 3.2 Concentration in securities lending and borrowing The amount ty which the aggregate of amounts receivable from total finances. 1 1 3.3 Net underwriting Commitments 1 1 1 4.1 Concentration in securities pledged as margins exceed the 110% of the market value of shares borowed 1 1 3.3 Net underwriting Commitments (a) in the case of right issue: if the market value of securities is less than or equal to the subscription price: the aggregate of. 1 1 4.4 Negative equily of subsidiary (b) the value by which the underwriting commitments and (a) in the case of right issue where the market plec of securities is greater than the subscription price, 5% of the Halicut multiplied by the net underwriting commitments (b) in any other case: 125.8 of the net underwriting commit					
c. In case of early repayment of Ican. adjustment shall be made to the Liquid Capital and revised Liquid 61,000,000 2.5 Total Liabilities 61,000,000 0 61,000,000 2.5 Total Liabilities Relating to : 140,458,036 0 140,458,036 3 Ranking Liabilities Relating to : 140,458,036 0 140,458,036 3.1 Concentration in Margin Financing The amount calculated client-to - client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. 1 1 3.2 Concentration in securities lending and borrowing The amount deposited by the borower with NCCPL (II) Cash margins paid and (III) The market value of securities pledged as margins exceed the 110% of the market value of shares borowed 1 1 3.3 Net underwriting Commitments (a) in the case of right issue : if the market value of securities is less than or equal to the subscription price; the aggregate of: (b) the solw of Haicut multiplied by the underwriting commitments and (D) in any other case: 12.5% of the net underwriting commitments the case of right issue where the market price of securities is greater than the subscription price. 5% of the Heiduru multiplied by the subsdiary (b) in any other case: 12.5% of the net underwriting commitments \$% of the net position in foreign currency positions \$% of the net position in foreign curre					
ii. Subordinated loans which do not fulfill the conditions specified by SECP 61,000,000 0 61,000,000 2.5 Total Liabilities 140,458,036 0 140,458,036 0 3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. 0 0 0 3.2 Concentration in securities lending and borrowing The amount deposited by the borrower with NCCPL (II) Cash margins paid and (III) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 0 0 0 3.3 Net underwriting Commitments (a) in the case of right issuse : if the market value of securities is less than or equal to the subscription price: the aggregate of: (I) the 50% of Haircut multiplied by the underwriting commitments and (III) the value by which the underwriting commitments and (III) the value by which the underwriting commitments and (III) the value by which the total assets of the subscription price, 5% of the Haircut multiplied by the total assets of the subsidiary (B) in any other case: 125% of the net underwriting commitments (B) in any other case: 125% of the net underwriting commitments (B) in any other case: 125% of the subsidiary (excluding any amount due from the subscription price, 5% of the Haircut multiplied by the total assets of the subsidiary (excluding any amount due from the subscription price. The agreements and foreign currency positions (5% of the net position in foreign currency.Net position in foreign currency is total liabilities denominated in foreign		c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid			
2.5 total Liabilities 140,458,036 0 140,458,036 3 Ranking Liabilities Relating to : 1 140,458,036 0 140,458,036 3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances. 1 1 3.2 Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL. 1 1 (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 1 1 1 3.3 Net underwriting Commitments (a) in the case of fight issues : if the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the stalue by which the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments in the case of inght issues where the market price of securities is greater than the subscription price, 5% of the Haicut multiplied by the net underwriting (b) in any other case: 125% of the net underwriting commitments 1 1 3.4 Negative equity of subsidiary the amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding currency positions 5% of the net position in foreign currency. Net position in foreign currency. <td< td=""><td></td><td>Capital statement must be submitted to exchange.</td><td>61 000 000</td><td>0</td><td>61 000 000</td></td<>		Capital statement must be submitted to exchange.	61 000 000	0	61 000 000
3 Ranking Liabilities Relating to :		II. Subordinated loans which do not fulfill the conditions specified by SECP	61,000,000	0	61,000,000
3 Ranking Liabilities Relating to :	2.5	Total Liabilites	140,458,036	0	140,458,036
3.1 Concentration in Margin Financing Image exceed 10% of the aggregate of amounts receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. 3.2 Concentration in securites lending and borrowing Image exceed 10% of the aggregate of financees. 3.2 Concentration in securites lending and borrowing Image exceed 10% of the aggregate of financees. 3.2 Concentration in securites lending and borrowing Image exceed 10% of the aggregate of financees. 3.3 Net underwriting Commitments Image exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments Image exceed fill by the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the value by which the underwriting commitments and Image exceed fill by the underwriting commitments and (ii) the value by which the underwriting commitments and Image exceed fill by the net underwriting commitments and (i) the value by which the total assets of the subsidiary (excluding any amount due from the subsidiary) Image exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) 3.3 Negative equity of subsidiary Image exceed fill by the net underwriting commitments 3.4 Negative equity of subsidiary Image exceed fill by the net underwriting commitments 3.5	3				
financees exceed 10% of the aggregate of amounts receivable from total financees. Image: Concentration in securites lending and borrowing 3.2 Concentration in securites lending and borrowing Image: Concentration in securites plending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL Image: Concentration in securities pledged as margins exceed the 110% of the market value of shares borrowed Image: Concentration in securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments Image: Concentration in securities is less than or equal to the subscription price; the aggregate of: Image: Concentration in the case of right issue: if the market value of securities is greater than the subscription price; the aggregate of: Image: Concentration in the case of securities concentration in the case of securiting commitments exceeds the market price of the securities. Image: Concentration in the case of securities is greater than the subscription price, 5% of the Haicut multiplied by the net underwriting commitments Image: Concentration in the case of the subsidiary 3.4 Negative equity of subsidiary Image: Concentration in foreign currency position in foreign currency means the difference of total assets denominated in foreign currency. Image: Concentration in the case of total assets denominated in foreign currency means the difference of total assets denominated in foreign currency. Image: Concentration in the case of total assets denominated in foreign curency. Imount Payable under REPO Imag	3.1				
3.2 Concentration in securites lending and borrowing Image: Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed Image: Concentration in securites pledged as margins exceed the subscription price, the aggregate of: Image: Concentration in securites pledged as margins exceeds the market price of the securites. Image: Concentration pledged as margins exceeds the market price of the securites. Image: Concentration pledged as pledged as pledged as pledged as pledged as pleadged as p					
The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (ii) The case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the case of right issuse : if the market value of securities is greater than the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (ii) the value by which the total assets of the subsidiary 3.4 Negative equity of subsidiary (ii) the subsidiary (iii) the value of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions (iii) the value of subsidiary (iii) the subsidiary 3.5 Foreign exchange agreements and foreign currency positions (iii) the subsidiary (iii) the subsidiary 3.6 Amount Payable under REPO (iii) foreign currency less total liabilities denominated in foreign currency (iii) the value of the REPO	2.2				
(i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Image: Securities pledged as margins exceed the 110% of the market value of shares 3.3 Net underwriting Commitments Image: Securities pledged as margins exceed the 110% of the market value of shares (a) in the case of right issue: if the market value of securities is less than or equal to the subscription price: the aggregate of: Image: Securities of the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and Image: Securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case : 12.5% of the net underwriting commitments Image: Securities of the subsidiary (b) in any other case : 12.5% of the net underwriting commitments Image: Securities of the subsidiary (c) the total labilities of the subsidiary (excluding any amount due from the subsidiary) Image: Securities of the subsidiary exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) Image: Securities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions Image: Securities of the regin currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO Image: Securities of total liabilities denominated in foreign currency	3.2				
(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Image: Securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments Image: Securities pledged as margins exceed the 110% of the market value of shares 3.3 Net underwriting Commitments Image: Securities pledged as margins exceeds the nor equal to the subscription price; the aggregate of: Image: Securities pledged as margins exceeds the market price of the securities. (i) the value by which the underwriting commitments exceeds the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the net underwriting commitments Image: Securities pledged as margins exceeds the market price of the securities. 3.4 Negative equity of subsidiary Image: Securities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities and foreign currency means the d					
borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Image: Commitment is the Haircut multiplied by the net underwriting commitment is and the Haircut multiplied by the net underwriting commitments 3.4 Negative equity of subsidiary Image: Commitment is and foreign commitment is and foreign currency positions 3.5 Foreign exchange agreements and foreign currency positions Image: Commitment is and foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency 3.6 Amount Payable under REPO Image: Commitment is and foreign currency					
3.3 Net underwriting Commitments					
3.4 Negative equity of subsidiary bit net case of the subsidiary 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) sxeed the total liabilities of the subsidiary 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO	3.3				
price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of Image: Securities is greater than the subscription price, 5% of 3.4 Negative equity of subsidiary Image: Securities of the subsidiary (excluding any amount due from the subsidiary) Image: Securities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions Image: Securities of the subsidiary (for the net underwriting currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency less total liabilities denominated in foreign currency less total liabilities denominated in foreign currency Image: Securities of the subsidiary 3.6 Amount Payable under REPO Image: Securities of the subsidiary (for the subscide in foreign currency means the difference of total assets of total assets denominated in foreign currency less total liabilities denominated in foreign currency	0.0				
(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting Image: Comparison of the Haircut multiplied by the net underwriting commitments 3.4 Negative equity of subsidiary Image: Comparison of the subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) Image: Comparison of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions Image: Comparison of the net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
the Haircut multiplied by the net underwriting Image: Constraint of the subscience of the su					
(b) in any other case : 12.5% of the net underwriting commitments Image: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary Image: 12.5% of the net underwriting commitments The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) Image: 12.5% of the net underwriting commitments 3.5 Foreign exchange agreements and foreign currency positions Image: 12.5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Image: 12.5% of the net position in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO Image: 12.5% of the net position in foreign currency		the Haircut multiplied by the net underwriting			
3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO		(b) in any other case : 12.5% of the net underwriting commitments			
exceed the total liabilities of the subsidiary Image: specific constraints of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO	3.4	Negative equity of subsidiary			
3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
assets denominated in foreign cuurency less total liabilities denominated in foreign currency 3.6 3.6 Amount Payable under REPO					
		assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
		ויכףט מטוטאווופווו			

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-NOV-18**

of M/s. Insight Securities (Pvt.) Ltd.

Submission Date 14-DEC-2018 14:15:52

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the	5,500,853	5,500,853	5,500,853
3.10	extent not already met Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11 3.12	Total Ranking Liabilites Liquid Capital	5,500,853 201,762,781	5,500,853 50,811,602	